**ATTACHMENT 1**

**CRIMINAL BACKGROUND and DISCLOSURE FORM – OWNERSHIP TRANSFER**

In connection with the Enter here [LP/GP/Ownership] Interest Transfer Request submitted to the Arkansas Development Finance Authority by Enter here [Name of New Owner] ("New Owner"), I, Enter here [Name of Individual], Enter here [Title] of Enter here [Name of New Owner[[1]](#footnote-1)], being duly sworn, hereby certify that I:

1.  have not been convicted by any state or federal jurisdiction of any felony.

or

have been convicted by a state or federal jurisdiction of a felony and the following details are provided:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Jurisdiction | Date | Offense | Punishment | Details |
| Enter here | Enter here | Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here | Enter here | Enter here |

2.  have not been fined, suspended, or debarred as a result of any financial performance, conduct, or housing activity by any state or federal agency.

or

have been fined, suspended, or debarred as a result of any financial performance, conduct or housing activity by a state or federal agency and the following details are provided:

|  |  |  |
| --- | --- | --- |
| Agency | Date | Details |
| Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here |

3.  have not filed for bankruptcy or reorganization.

or

have filed for bankruptcy or reorganization and the following details are provided:

|  |  |  |
| --- | --- | --- |
| Jurisdiction | Date | Details |
| Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here |

4.  do not have any outstanding, uncorrected noncompliance issues with any state or federal housing program or agency.

or

do have outstanding, uncorrected noncompliance issues with a state or federal housing program or agency and the following details are provided:

|  |  |  |
| --- | --- | --- |
| Agency | Date | Details |
| Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here |

5.  do not have any existing contracts or indebtednesses with the Arkansas Development Finance Authority either as principal of the above-stated entity or any other entity in which I and all principals of the New Owner and which entity is doing business with the Arkansas Development Finance Authority;

or

do have the existing contracts or indebtednesses with the Arkansas Development Finance Authority as principal of the above-stated entity or any other in which I and all principals of the New Owner and which entity is doing business with the Arkansas Development Finance Authority; (Please include list at the back of this document)

6.  have not had at any time a delinquent, defaulted or foreclosed upon contract, loan or indebtedness with the Arkansas Development Finance Authority either as principal of the above-stated entity or any other entity in which I and all principals of the New Owner and which entity is doing business with the Arkansas Development Finance Authority;

or

have had a delinquent, defaulted or foreclosed upon contract, loan or indebtedness with the Arkansas Development Finance Authority either as principal of the above-stated entity or any other entity in which I and all principals of the New Owner and which entity is doing business with the Arkansas Development Finance Authority:

|  |  |  |
| --- | --- | --- |
| Date | Borrower | Details |
| Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here |

7.  have not had at any time any outstanding judgments, and there are no proceedings or investigations of any kind which are pending or to the best of my current, actual knowledge threatened at law or in equity, before or by any federal, state, municipal or other governmental department, commission, board, bureau, agency or other governmental authority:

or

have had at any time any outstanding judgments, and there are or may be proceedings or investigations of any kind which are pending, threatened at law or in equity, before or by any federal, state, municipal or other governmental department, commission, board, bureau, agency or other governmental authority:

|  |  |  |
| --- | --- | --- |
| Date | Borrower | Details |
| Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here |

8.  have not been a party to any pending or, to my current, actual knowledge, threatened litigation or to any proceeding or action for the assessment or collection of additional taxes:

or

have been a party to any pending or, to my current, actual knowledge, threatened litigation or to any proceeding or action for the assessment or collection of additional tax:

|  |  |  |
| --- | --- | --- |
| Date | Borrower | Details |
| Enter here | Enter here | Enter here |
| Enter here | Enter here | Enter here |

I, Enter here [Name of Individual], in my capacity as Enter here [Title] of Enter here [Name of New Owner[[2]](#footnote-2)], further certify that I have the authority and knowledge to make the representations contained herein.

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

STATE OF Enter here

COUNTY OF Enter here

On this day before me, a Notary Public, duly commissioned, qualified and acting, within and for the said County and State, appeared in person the within named Enter here, to me personally well known, who stated that Enter here is the Enter here of Enter here, the Enter here of Enter here, and is duly authorized in such capacity to execute the foregoing instrument for and in the name of Enter here, and further stated and acknowledged that Enter here had so signed, executed and delivered said foregoing instrument for the consideration, uses and purposes therein mentioned and set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal on this Enter here day of Enter here, Enter here.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Notary Public

My commission expires: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. After the Name of Individual is given, explain the interest of the Individual in the New Owner. This could be “I, John Smith, member of Smith Investments, LLC” or it could be a longer explanation if the Individual is further down on the New Owner’s org chart. [↑](#footnote-ref-1)
2. Explain the ownership interest of the Individual in the New Owner as was done on the first page. [↑](#footnote-ref-2)