



# HOME-ARP NON- SUBSTANTIAL AMENDMENT, NO.3 TO HOME-ARP ALLOCATION PLAN

## [Abstract](#)

The Arkansas Development Finance Authority (ADFA) Non-Substantial Amendment No.3 to the September 26, 2022, HOME-ARP Allocation Plan ("the PLAN") - The following is a summary of language that amends the 2021 HOME-ARP Allocation Plan

May 2025

## **Contents**

1. Background
2. Original Use of HOME-ARP Funding
3. Proposed Non-Substantial Amendment
4. Final Action on the Non-Substantial Amendment

## 1. Background

Arkansas Development Finance Authority (ADFA) received \$37,547,010 in HOME-ARP funds. HOME-ARP is a one-time allocation to the state authorized under Section 3205 of the American Rescue Plan Act of 2021, Public Law 117-2, enacted on March 11, 2021. This supplemental funding was allocated by formula under the HUD HOME entitlement program. To receive the HOME-ARP allocation, ADFA developed a HOME-ARP Allocation Plan that was submitted September 26, 2022, as part of the State's PY2021 HUD Annual Action Plan. The Annual Action Plan details each year within the 5-year Consolidated Plan and outlines the implementation of annual funding, which is developed through significant public input, analyses, and planning.

The American Rescue Plan Act of 2021 was authorized for the purpose of providing assistance to the following populations:

- Homeless
- At risk of Homelessness
- Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking
- Veterans and Families that include a Veteran Family Member
- Other Populations (Other Families Requiring Services or Housing Assistance to Prevent Homelessness and Households at Greatest Risk of Instability) as defined by HUD in the Notice.

The Plan was reviewed and approved by HUD on November 14, 2022, and included: 1) an outline of the consultation and public participation processes undertaken, 2) an assessment of the needs of Qualifying Populations and gaps in local housing and services systems, and 3) planned uses of HOME-ARP funds for prioritized populations and eligible activities. The HOME-ARP Program requires that all funds be spent between the period from plan approval November 14, 2022, through September 30, 2030.

## 2. Original Use of HOME-ARP Funding

ADFA will utilize HOME-ARP funds for the development of non-congregate shelter, to fund supportive services, and to provide operating support to non-profit organizations, as outlined in the program budget.

## 3. Proposed Non-Substantial Amendment

Arkansas Development Finance Authority is proposing an amendment to the state's Annual Action Plan for the HOME-ARP program and a Non-Substantial Amendment is required because it meets one or more of the following criteria in the HOME-ARP Notice:

- To de-obligate \$2,274,587.87 from Supportive Services.
- ADFA intends to move program funding between HOME-ARP activities.
- ADFA plans to move the de-obligated funds to Non-Congregate Shelter Housing (NCS) activity.
- Total of de-obligated funds \$2,274,587.87 is less than 25% twenty-five percent of the total allocation of Home-ARP Program funding.

For this Non-Substantial Amendment, ADFA is not required to follow the state's Citizen Participation Plan to meet the citizen participation requirements for HUD formula grant programs.

Activity	Original Funding Amount	Revised Amount (2/11/2025)	Proposed Amount (Non-Substantial Amendment 3)	Percent of the Grant (Adjusted per Non-Substantial Amendment 3)
Supportive Services	\$ 10,137,692.50	\$9,815,798.16	\$5,060,955.13	14%
Acquisition and Development of Non-Congregate Shelters	\$ 21,026,326.00	\$20,358,561.92	\$25,332,697.35	70%
Non-Profit Operating	\$1,877,350.50	\$1,817,740.40	\$1,598,448.00	4%
Administration and Planning	\$ 4,505,641.00	\$4,362,707.52	\$4,362,707.52	12%
Total HOME ARP Allocation	\$ 37,547,010.00	\$36,354,808.00	\$36,354,808.00	100%

#### 4. Final Action on the Non-Substantial Amendment

ADFA's Non-Substantial Amendment No. 3 was submitted to HUD on May 29, 2025.

Plan	Reason	Status	Date
Allocation Plan	Outlined the State's priorities to address the Homeless. Establish policy and procedures for awarding HOME-ARP funding. Prove capacity for grant management.	Approved	November 14, 2022
Substantial Amendment No 1	Established preferences for Supportive Services and Non-Congregate Activities.	Approved	June 20, 2023
Non-Substantial Amendment No 2	Change or reduction to the allocation amounts and to move uncommitted funds from one or more activity.	Approved	March 10, 2025
Non-Substantial Amendment No 3	De-obligate Supportive Services funds and move to Non-Congregate Shelter.	Pending	May 29, 2025

The notice will be posted on these websites.

<https://adfa.arkansas.gov/> and <https://portal.arkansas.gov/events>

For more information or questions, please contact:

Lori Brockway, Federal Housing Programs Manager: [lori.brockway@arkansas.gov](mailto:lori.brockway@arkansas.gov)  
or [adfa.home.arp@arkansas.gov](mailto:adfa.home.arp@arkansas.gov).

## ATTACHMENTS

HOME-ARP Grant Agreement

ADFA's Non-Substantial Amendment Letter to HUD

HUD's response to Non-Substantial Amendment

**HOME ARP Grant Agreement**

Title II of the Cranston-Gonzalez National Affordable Housing Act

Assistance Listings #14.239 – HOME Investment Partnerships Program

1. Grantee Name and Address State of Arkansas 1 Commerce Way Suite 601 Little Rock, AR 72201-3826			2. Grant Number (Federal Award Identification Number (FAIN)) <b>M21-SP050100</b>																
			3a. Tax Identification Number 710847443	3b. Unique Entity Identifier (formerly DUNS) VDN3ZMWG7KT1															
			4. Appropriation Number 861/50205	5. Budget Period Start and End Date FY 2021 – 09/30/2030															
6. Previous Obligation (Enter "0" for initial FY allocation)				\$37,547,010.00															
a. Formula Funds			\$37,547,010.00																
7. Current Transaction (+ or -)				\$(1,192,202.00)															
a. Administrative and Planning Funds Available on Federal Award Date			\$																
b. Balance of Administrative and Planning Funds			\$																
c. Balance of Formula Funds			\$(1,192,202.00)																
8. Revised Obligation				\$36,354,808.00															
a. Formula Funds			\$36,354,808.00																
9. Special Conditions (check applicable box) <input type="checkbox"/> Not applicable <input type="checkbox"/> Attached			10. Federal Award Date (HUD Official's Signature Date) 09/20/2021																
11. Indirect Cost Rate* <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; border-bottom: 1px solid black;">Administering Agency/Dept.</th> <th style="text-align: left; border-bottom: 1px solid black;">Indirect Cost Rate</th> <th style="text-align: left; border-bottom: 1px solid black;">Direct Cost Base</th> </tr> </thead> <tbody> <tr> <td>—</td> <td>—%</td> <td></td> </tr> </tbody> </table>			Administering Agency/Dept.	Indirect Cost Rate	Direct Cost Base	—	—%		—	—%		—	—%		—	—%		12. Period of Performance Date in Box #10 - 09/30/2030	
Administering Agency/Dept.	Indirect Cost Rate	Direct Cost Base																	
—	—%																		
—	—%																		
—	—%																		
—	—%																		

\* If funding assistance will be used for payment of indirect costs pursuant to 2 CFR 200, Subpart E-Cost Principles, provide the name of the department/agency, its indirect cost rate (including if the de minimis rate is charged per 2 § CFR 200.414), and the direct cost base to which the rate will be applied. Do not include cost rates for subrecipients.

The HOME-ARP Grant Agreement (the "Agreement") between the Department of Housing and Urban Development (HUD) and the Grantee is made pursuant to the authority of the HOME Investment Partnerships Act (42 U.S.C. 12701 et seq.) and Section 3205 of the American Rescue Plan (P.L. 117-2) (ARP). HUD regulations at 24 CFR part 92 (as may be amended from time to time), the CPD Notice entitled "Requirements for the Use of Funds in the HOME-American Rescue Plan Program" (HOME-ARP Implementation Notice), the Grantee's HOME-ARP allocation plan (as of the date of HUD's approval), and this HOME-ARP Grant Agreement, form HUD-40093a, including any special conditions (in accordance with 2 CFR 200.208), constitute part of this Agreement. HUD's payment of funds under this Agreement is subject to the Grantee's compliance with HUD's electronic funds transfer and information reporting procedures issued pursuant to 24 CFR 92.502 and the HOME-ARP Implementation Notice. To the extent authorized by HUD regulations at 24 CFR part 92, HUD may, by its execution of an amendment, deobligate funds previously awarded to the Grantee without the Grantee's execution of the amendment or other consent. The Grantee agrees that funds invested in HOME-ARP activities under the HOME-ARP Implementation Notice are repayable in accordance with the requirements of the HOME-ARP Implementation Notice. The Grantee agrees to assume all of the responsibility for environmental review, decision making, and actions, as specified and required in regulation at 24 CFR 92.352 and 24 CFR Part 58, as well as the HOME-ARP Implementation Notice.

The Grantee must comply with the applicable requirements at 2 CFR part 200, as amended, that are incorporated by the program regulations and the HOME-ARP Implementation Notice, as may be amended from time to time. Where any previous or future amendments to 2 CFR part 200 replace or renumber sections of part 200 that are cited specifically in the program regulations or HOME-ARP Implementation Notice, activities carried out under the grant after the effective date of the 2 CFR part 200 amendments will be governed by the 2 CFR part 200 requirements, as replaced or renumbered by the part 200 amendments.

The Grantee shall comply with requirements established by the Office of Management and Budget (OMB) concerning the Universal Numbering System and System for Award Management (SAM) requirements in Appendix I to 2 CFR part 200, and the Federal Funding Accountability and Transparency Act (FFATA) in Appendix A to 2 CFR part 170.

Funds remaining in the grantee's Treasury account after the end of the budget period will be cancelled and thereafter not available for obligation or expenditure for any purpose. Per 31 U.S.C. 1552, the grantee shall not incur any obligations to be paid with such assistance after the end of the Budget Period.

13. For the U.S. Department of HUD (Name and Title of Authorized Official) David Blick, Acting CPD Director	14. Signature 	15. Date <b>2/11/2025</b>
16. For the Grantee (Name and Title of Authorized Official)	17. Signature _____	18. Date / /

19. Check one:     Initial Agreement     Amendment #

20. Funding Information:    *HOME ARP*  

Source of Funds	Appropriation Code	PAS Code	Amount
2021	861/50205	HMX	\$(1,192,202.00)

**From:** [Lori Brockway](#)  
**To:** [Lewis-Payne, Sandra K](#)  
**Cc:** [Blick, David G](#); [Alisa Green](#); [Lanita Hastings](#); [Susan Gardner](#)  
**Subject:** RE: <External Message> HOME-ARP Non-Substantial Amendment  
**Date:** Tuesday, March 11, 2025 10:27:00 AM  
**Attachments:** [Nonsubstantial amendment.pdf](#)  
[image001.png](#)  
[image003.png](#)  
[image004.png](#)  
[image005.png](#)  
[image006.png](#)  
[image007.png](#)

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Sandra, I made a change to this letter regarding the initial amount to move over. Please use this letter for your files.

Thank you,  
Lori

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**From:** Lewis-Payne, Sandra K <Sandra.K.LewisPayne@hud.gov>  
**Sent:** Monday, March 10, 2025 10:36 AM  
**To:** Lori Brockway <lori.brockway@arkansas.gov>  
**Cc:** Blick, David G <David.G.Blick@hud.gov>; Alisa Green <alisa.green@arkansas.gov>; Lanita Hastings <Lanita.Hastings@arkansas.gov>; Susan Gardner <Susan.Gardner@arkansas.gov>  
**Subject:** RE: <External Message> HOME-ARP Non-Substantial Amendment

Good Morning Lori,

Our office has received the minor amendment request, please make the necessary changes in IDIS.

If you have you have any questions, please let me know.

Thanks,  
Sandra

**Sandra Lewis-Payne**  
**Senior Community Planning and Development Representative**  
**U.S. Department of Housing and Urban Development**  
**Richard Sheppard Arnold United States Courthouse**  
**600 West Capitol Avenue, Suite #400A**  
**Little Rock, AR 72201**  
**(501) 918-5738**  
**[Sandra.K.LewisPayne@hud.gov](mailto:Sandra.K.LewisPayne@hud.gov)**

**Community Planning and Development email addresse:**  
**[CPD\\_GeneralCorr-LIT@hud.gov](mailto:CPD_GeneralCorr-LIT@hud.gov)**





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**From:** Lori Brockway <[lori.brockway@arkansas.gov](mailto:lori.brockway@arkansas.gov)>  
**Sent:** Monday, March 10, 2025 10:15 AM  
**To:** Lewis-Payne, Sandra K <[Sandra.K.LewisPayne@hud.gov](mailto:Sandra.K.LewisPayne@hud.gov)>  
**Cc:** Blick, David G <[David.G.Blick@hud.gov](mailto:David.G.Blick@hud.gov)>; Alisa Green <[alisa.green@arkansas.gov](mailto:alisa.green@arkansas.gov)>; Lanita Hastings <[Lanita.Hastings@arkansas.gov](mailto:Lanita.Hastings@arkansas.gov)>; Susan Gardner <[Susan.Gardner@arkansas.gov](mailto:Susan.Gardner@arkansas.gov)>  
**Subject:** <External Message> HOME-ARP Non-Substantial Amendment

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Good morning Sandra,

Please see attached letter regarding the HOME-ARP funding.

Let me know if you have any questions.

Thanks,  
Lori

Lori Brockway  
Federal Housing Programs Manager  
Arkansas Development Finance Authority  
1 Commerce Way, Suite 602  
Little Rock, AR 72202  
(501) 682-3339 Direct  
[lori.brockway@arkansas.gov](mailto:lori.brockway@arkansas.gov)

